

HOME HEALTH CARE NEW ENTITY SUPPLEMENTAL APPLICATION

Note: A "New Entity" is defined as an organization that has been in business for more than six (6) months and less than three (3) years.

Note: We are not a market for "Start-up Operations". A "Start-up Operation" is defined as an organization that has been officially in business for less than six (6) months. Do NOT submit.

Applicant Name:

DBA:

(If more than one entity/subsidiary, please attach description and % owned for each)

For Profit

Non-Profit

Partnership

Other (specify):

Address:

City:

State:

Zip:

Telephone:

Fax:

Date business established:

Number of years under present management:

Federal Employer Tax I.D. Number:

Website address (if available):

Name and phone number of person to contact for inspection:

SUBMISSION REQUIREMENTS

- ACORD Application for each line of coverage
- Currently valued losses for the time in business
- Client Contract
- Financial Statement
- Brochure and/or Newsletter, if available
- Resume of owner/principle
- Business Plan

If contracted with Nursing Homes, Assisted Living Facilities or Hospitals, provide copies of Indemnification Agreement, Hold Harmless Agreement, Additional Insured Provisions.

If organization has medical/sk

APPLICANT INFORMATION

- | | | | |
|---|-----|-----|----|
| 1. Is the Applicant Medicare licensed and certified? | N/A | Yes | No |
| 2. Is the Applicant Medicaid licensed and certified? | N/A | Yes | No |
| 3. Total annual Gross Revenues: \$ | | | |
| Total receipts from Medicare: \$ | | | |
| Total receipts from Medicaid: \$ | | | |
| Total receipts from Private Pay: \$ | | | |
| 4. Has the Applicant's license ever been suspended, revoked, voluntarily surrendered or undergone enforcement action? | | Yes | No |
| If "yes", provide specifics and corrective action taken: | | | |

5. Does common ownership (over 50%) exist with any other operation? Yes No
 If "yes", give names and types of operations managed and owned:
6. Does the Applicant contact with a hospital or skilled nursing facility for inpatient beds? Yes No
 If "yes", please explain:
7. Is the Applicant a member of any State Association? Yes No
 If "yes", please provide the name of the State Association:
8. Is the Applicant a member of any other industry association(s)? Yes No
 Please specify:
 Member #:
9. Has the applicant ever declared bankruptcy? Yes No

Types of Services Provided:						
Service		Service		Service		
Adult Day Care	%	Hospice	%	Pet Therapy	%	
Chemotherapy	%	Infant Care	%	Pharmacy	%	
Child Day Care	%	Infusion Therapy	%	Physical Therapy	%	
Clergy	%	Meals on Wheels	%	Radiation Therapy	%	
Clinical Care	%	Medical Equip. Supplier	%	Rehabilitation	%	
Companion / Sitter	%	Nurse Practitioner	%	Respiratory Therapy	%	
Dialysis	%	Occupational Therapy	%	Speech Therapy	%	
Dietician / Nutritionist	%	Pediatric Care	%	Skilled Nursing Care	%	
General Nursing (LPN/LVN)	%	Personal Care	%	Ventilator:	%	
Other:	%	Other:	%	Other:	%	
					ABOVE MUST TOTAL 100%:	%

Location of Services Provided:						
Type		Type		Type		
Private Homes	%	Hospitals	%	Clinics	%	
Doctor's Offices	%	Nursing Homes	%	Owned Facility	%	
Assisted Living Facilities	%	Other:	%	Other:	%	
					ABOVE MUST TOTAL 100%:	%

Supplemental Services (Supplying health care providers to other facilities for a fee): IF "NO" check here:						
Type		Type		Type		
Private Homes	%	Hospitals	%	Clinics	%	
Doctor's Offices	%	Nursing Homes	%	Owned Facility	%	
Assisted Living Facilities	%	Other:	%	Other:	%	
					ABOVE MUST TOTAL 100%:	%

Employees / Independent Contractors – Annual Staffing:						
	Employees		Independent		Annual Payroll	
	Full Time	Part Time	Full Time	Part Time	Employees	Independent Contractors
Acupuncturist						
Certified Nurse Anesthetist						
Clergy / Chaplain						
Clerical						
Dietitian						
Nurses (RN)						
Homemaker / Home Health Aid						
LPN / LVN						
Medical Director						
Nurse Practitioner						
Occupational Therapist						
Pharmacist						
Physical Therapist						
Physician						
Physician Assistant						
Psychiatrist						
Psychologist						
Respiratory Therapist						
Social Worker						
Speech Therapist						
Volunteers						
Other (specify):						
Total:						

10. Describe any changes in operations planned within the next year:

11. Is the Applicant accredited or a member of the following Health Care Organizations:

- | | | |
|--|-----|----|
| a. Community health Accreditation Program (CHAP)? | Yes | No |
| b. Joint Commission on Accreditation of Health Care Organizations (JCAHO)? | Yes | No |
| c. Any other accrediting organization (please specify)? | Yes | No |

Member #:

12. Have any claims / suits been made within the last three years against the Applicant? Yes No
 If "yes", please attach copy of insurance company loss reports for each claim or suit.
 (Specify date, description, amount paid and amount outstanding for each claim).

13. Is the Applicant aware of any circumstances which may result in any claim or suit made (including request for medical records)? Yes No
 If "yes", please explain:

14. Has any company declined, canceled or refused to renew any of the Applicant's Professional Liability Insurance? Yes No
 If "yes", please explain:

15. Previous Professional Liability Insurance (past three years):

Company	Limits of Liability	Effective Dates	Annual Premium	Claims Made* Form or Occurrence Form	Retroactive Date (Claims Made only)
			\$		
			\$		
			\$		
			\$		
			\$		

**If claims made, complete a claims made supplemental.*

16. Limits of Liability Desired:
 \$500,000 / \$1,000,000 \$1,000,000 / \$1,000,000 \$1,000,000 / \$2,000,000 \$1,000,000 / \$3,000,000
 Other: \$ Occurrence / \$ Aggregate

HIRING / SCREENING

1. Are all employees and contractors screened to rule out drug, alcohol and sexual abuse? Yes No
2. Check all methods used in hiring all employees or independent contractors:
- Drug Testing Yes No
 - Criminal Background Checks – Federal Yes No
 - Criminal Background checks – State Yes No
 - Reference Checks Yes No
 - Personal Interview Yes No
 - Sexual Abuse Registry Yes No
 - Validate Work History Yes No
 - Validate Education Yes No
 - Verify Current Certification / Professional License Yes No
 - Validate Driver's License Yes No
 - Validate Personal Auto Insurance and Limits (if operating owned vehicle during company hours) Yes No
3. Does the Applicant question prospective employees about any previous involvement as defendants in professional malpractice litigation? Yes No
 If "no", please explain:
4. Does the Applicant verify if potential employees and or independent contractors have ever had their license revoked or suspended, or disciplinary action taken against them? Yes No

RISK MANAGEMENT

- | | | |
|--|-----|----|
| <p>1. Does the Applicant utilize a formal written Quality Assurance Risk Management Program?
If "no", please explain:</p> | Yes | No |
| <p>2. Does the Applicant verify certificate and / or professional licensure status of employees and independent contractors?</p> | Yes | No |
| <p>3. Are independent contractor's required to carry their own individual professional liability coverage?
Limits of Liability: \$</p> | Yes | No |
| <p>4. Are certificates of insurance maintained on file for all employees and independent contractors and updated annually?</p> | Yes | No |
| <p>5. Has the Applicant developed written protocols that govern the admission and medical treatment of patients for the following policies and procedures:</p> | | |
| a. Complete treatment plan prescribed by the physician, including follow up plans? | Yes | No |
| b. Assessments of clients prior to and after accepting the clients? | Yes | No |
| c. Client's care and home visits documented? | Yes | No |
| d. Documentation of all homecare training? | Yes | No |
| e. All changes in the condition of the client or incidents involving the client documented in the records and reported to the family and physician? | Yes | No |
| <p>6. Does the Applicant have a formal incident report procedure in place?</p> | Yes | No |
| <p>7. Does the Applicant have formal documented training in place for the following:</p> | | |
| a. Crisis Management | Yes | No |
| b. Disposal of Medical waste | Yes | No |
| c. First Aid | Yes | No |
| d. AED Training | Yes | No |
| e. Infusion Therapy | Yes | No |
| f. Safe lifting, transferring, and client handling | Yes | No |
| g. Blood borne Pathogen | Yes | No |
| h. Safe use of equipment | Yes | No |
| i. Other (please list): | Yes | No |
| <p>8. Do patient records include the following:</p> | | |
| a. A complete treatment plan prescribed by a physician, including follow-up plans? | Yes | No |
| b. An "informed consent" document obtained and placed in the patient's medical record?
(informed consent laws vary by state) | Yes | No |
| c. Patient care home visits meticulously documented? | Yes | No |
| d. Complete medical records maintained on all patients? | Yes | No |
| e. Patient records kept on file (hardcopy of electronic) for a minimum of 6 years? | Yes | No |
| f. All changes in condition and incidents documented to the physician and family? | Yes | No |
| g. Is documentation of all homecare training provided? | Yes | No |
| h. Medications & dosage, including documentation of administering medications? | Yes | No |
| i. A copy of literature given to clients explaining services and fees? | Yes | No |
| j. Termination of services and discharge criteria? | Yes | No |
| <p>9. Does the Applicant conduct patient / client surveys?</p> | Yes | No |
| <p>10. Are medications ordered by a licensed physician and administered by or under the close supervision of a qualified medical professional?</p> | Yes | No |

ABUSE AND MOLESTATION

1. Does your current insurance program include Abuse and Molestation coverage? If "yes", what are the limits? \$	Yes	No
2. Does your employment process include verification of whether the individual has ever been convicted of any crime, including sex related or child-abuse related offenses, before an offer of employment is made?	Yes	No
3. Does the Applicant have a written crisis plan in place for dealing with employees, victims, parents, authorities, and the media if you have an incident of abuse?	Yes	No
4. Are there written complaint procedures and are they displayed prominently? If "no" please explain:	Yes	No
5. Are there written procedures that monitors staff in day-to-day relationships with clients, both on and off premises?	Yes	No
6. Is there formal staff training on sexual abuse, including how to recognize the signs?	Yes	No
7. Is there more than one person responsible for the welfare of any single patient?	Yes	No
8. Have any incidents resulted in an allegation of sexual abuse?	Yes	No
9. Was the case settled?	N/A	Yes No
10. Was the case taken to trial?	N/A	Yes No
11. Amount paid for damages to the victim: \$	N/A	

AUTO INFORMATION

1. Does the Applicant own or lease any vehicles?	Yes	No
2. Does the Applicant need coverage for non-owned automobiles?	Yes	No
3. Does the Applicant have a program to monitor an employee's personal auto liability insurance program?		
a. At time of hire?	Yes	No
b. Annually?	Yes	No
4. Does the Applicant run MVRs on all employees?		
a. At time of hire?	Yes	No
b. Annually?	Yes	No
c. Randomly (based on accidents or suspicions)	Yes	No
5. What action is taken if an "unacceptable" driver is identified?		
6. Do all Applicant's employees or volunteers transport clients in their own automobiles (appointments or errands)?	Yes	No
7. Does the Applicant transport non-ambulatory clients?	Yes	No
8. Does the Applicant contract with an ambulance or livery service to transport clients?	Yes	No

- | | | | | |
|-----|--|----------|----------|------------|
| 9. | How many drivers used personal vehicles for business?
*F/T = Full time – over 20 hours per week
** P/T = Part time = up to 20 hours per week | F/T: | P/T: | Volunteer: |
| 10. | What is the maximum and minimum age of drivers allowed to drive clients? | Maximum: | Minimum: | |
| 11. | Does the Applicant allow personal use of a company-owned vehicle? | | Yes | No |
| 12. | Does the Applicant make sure travel logs are kept for all drivers? | | Yes | No |
| 13. | Will the Applicant have their drivers complete PHLI's FREE on line driver training module? | | Yes | No |

FRAUD NOTICE STATEMENTS

NOTICE TO APPLICANTS: "ANY PERSON WHO KNOWINGLY AND WITH INTENT TO DEFRAUD ANY INSURANCE COMPANY OR OTHER PERSON FILES AN APPLICATION FOR INSURANCE OR STATEMENT OF CLAIM CONTAINING ANY MATERIALLY FALSE INFORMATION, OR CONCEALS FOR THE PURPOSE OF MISLEADING, INFORMATION CONCERNING ANY FACT MATERIAL THERETO, COMMITS A FRAUDULENT INSURANCE ACT WHICH IS A CRIME AND MAY SUBJECT SUCH PERSON TO CRIMINAL AND CIVIL PENALTIES."

RESIDENTS OF ALASKA APPLICANTS: "A PERSON WHO KNOWINGLY AND WITH INTENT TO INJURE, DEFRAUD OR DECEIVE AN INSURANCE COMPANY FILES A CLAIM CONTAINING FALSE, INCOMPLETE OR MISLEADING INFORMATION MAY BE PROSECUTED UNDER STATE LAW."

RESIDENTS OF ARKANSAS APPLICANTS: "ANY PERSON WHO KNOWINGLY PRESENTS A FALSE OR FRAUDULENT CLAIM FOR PAYMENT OF A LOSS OR BENEFIT OR KNOWINGLY PRESENTS FALSE INFORMATION IN AN APPLICATION FOR INSURANCE IS GUILTY OF A CRIME AND MAY BE SUBJECT TO FINES AND CONFINEMENT IN PRISON."

RESIDENTS OF ARIZONA APPLICANTS: "FOR YOUR PROTECTION ARIZONA LAW REQUIRES THE FOLLOWING STATEMENT TO APPEAR ON THIS FORM. ANY PERSON WHO KNOWINGLY PRESENTS A FALSE OR FRAUDULENT CLAIM FOR PAYMENT OF A LOSS IS SUBJECT TO CRIMINAL AND CIVIL PENALTIES."

RESIDENTS OF COLORADO APPLICANTS: "IT IS UNLAWFUL TO KNOWINGLY PROVIDE FALSE, INCOMPLETE, OR MISLEADING FACTS OR INFORMATION TO AN INSURANCE COMPANY FOR THE PURPOSE OF DEFRAUDING OR ATTEMPTING TO DEFRAUD THE COMPANY. PENALTIES MAY INCLUDE IMPRISONMENT, FINES, DENIAL OF INSURANCE, AND CIVIL DAMAGES. ANY INSURANCE COMPANY OR AGENT OF AN INSURANCE COMPANY WHO KNOWINGLY PROVIDES FALSE, INCOMPLETE, OR MISLEADING FACTS OR INFORMATION TO A POLICYHOLDER OR CLAIMANT FOR THE PURPOSE OF DEFRAUDING OR ATTEMPTING TO DEFRAUD THE POLICYHOLDER OR CLAIMANT WITH REGARD TO A SETTLEMENT OR AWARD PAYABLE FROM INSURANCE PROCEEDS SHALL BE REPORTED TO THE COLORADO DIVISION OF INSURANCE WITHIN THE DEPARTMENT OF REGULATORY AGENCIES."

RESIDENTS OF DISTRICT OF COLUMBIA APPLICANTS: "WARNING: IT IS A CRIME TO PROVIDE FALSE OR MISLEADING INFORMATION TO AN INSURER FOR THE PURPOSE OF DEFRAUDING THE INSURER OR ANY OTHER PERSON. PENALTIES INCLUDE IMPRISONMENT AND/OR FINES. IN ADDITION, AN INSURER MAY DENY INSURANCE BENEFITS IF FALSE INFORMATION MATERIALLY RELATED TO A CLAIM WAS PROVIDED BY THE APPLICANT."

RESIDENTS OF FLORIDA RESIDENTS APPLICANTS: "ANY PERSON WHO, KNOWINGLY AND WITH INTENT TO INJURE, DEFRAUD, OR DECEIVE ANY INSURER FILES A STATEMENT OF CLAIM OR AN APPLICATION CONTAINING ANY FALSE, INCOMPLETE OR MISLEADING INFORMATION IS GUILTY OF A FELONY OF THE THIRD DEGREE."

RESIDENTS OF KANSAS APPLICANTS: "ANY PERSON WHO KNOWINGLY AND WITH INTENT TO DEFRAUD ANY INSURANCE COMPANY OR OTHER PERSON CAUSES TO BE PRESENTED OR PREPARES WITH KNOWLEDGE OR BELIEF THAT IT WILL BE PRESENTED TO OR BY AN INSURER, PURPORTED INSURER, BROKER OR ANY AGENT THEREOF, ANY WRITTEN STATEMENT AS PART OF, OR IN SUPPORT OF, AN APPLICATION FOR THE ISSUANCE OF, OR THE RATING OF AN INSURANCE POLICY, OR A CLAIM FOR PAYMENT OR OTHER BENEFIT PURSUANT TO AN INSURANCE POLICY WHICH SUCH PERSON KNOWS TO CONTAIN MATERIALLY FALSE INFORMATION CONCERNING ANY FACT MATERIAL THERETO, OR CONCEALS, FOR THE PURPOSE OF MISLEADING, INFORMATION CONCERNING ANY FACT MATERIAL THERETO, COMMITS A FRAUDULENT INSURANCE ACT WHICH IS A CRIME AND MAY SUBJECT SUCH PERSON TO CRIMINAL AND CIVIL PENALTIES."

RESIDENTS OF KENTUCKY APPLICANTS: "ANY PERSON WHO KNOWINGLY AND WITH INTENT TO DEFRAUD ANY INSURANCE COMPANY OR OTHER PERSON FILES AN APPLICATION FOR INSURANCE CONTAINING ANY "MATERIALLY" FALSE INFORMATION, OR CONCEALS FOR THE PURPOSE OF MISLEADING, INFORMATION CONCERNING ANY FACT MATERIAL THERETO, COMMITS A FRAUDULENT INSURANCE ACT WHICH IS A CRIME."

RESIDENTS OF LOUISIANA APPLICANTS: "ANY PERSON WHO KNOWINGLY PRESENTS A FALSE OR FRAUDULENT CLAIM FOR PAYMENT OF A LOSS OR BENEFIT OR KNOWINGLY PRESENTS FALSE INFORMATION IN AN APPLICATION FOR INSURANCE IS GUILTY OF A CRIME AND MAY BE SUBJECT TO FINES AND CONFINEMENT IN PRISON."

RESIDENTS OF MAINE APPLICANTS: "IT IS A CRIME TO KNOWINGLY PROVIDE FALSE, INCOMPLETE OR MISLEADING INFORMATION TO AN INSURANCE COMPANY FOR THE PURPOSE OF DEFRAUDING THE COMPANY. PENALTIES MAY INCLUDE IMPRISONMENT, FINES OR A DENIAL OF INSURANCE BENEFITS."

RESIDENTS OF MARYLAND APPLICANTS: "ANY PERSON WHO KNOWINGLY AND WILLFULLY PRESENTS A FALSE OR FRAUDULENT CLAIM FOR PAYMENT OF A LOSS OR BENEFIT OR WHO KNOWINGLY AND WILLFULLY PRESENTS FALSE INFORMATION IN AN APPLICATION FOR INSURANCE IS GUILTY OF A CRIME AND MAY BE SUBJECT TO FINES AND CONFINEMENT IN PRISON."

RESIDENTS OF MINNESOTA APPLICANTS: "ANY PERSON WHO, WITH INTENT TO DEFRAUD OR KNOWING THAT HE/SHE IS FACILITATING A FRAUD AGAINST ANY INSURER, SUBMITS AN APPLICATION OR FILES A CLAIM CONTAINING A FALSE OR DECEPTIVE STATEMENT IS GUILTY OF INSURANCE FRAUD."

RESIDENTS OF NEW JERSEY APPLICANTS: "ANY PERSON WHO INCLUDES ANY FALSE OR MISLEADING INFORMATION ON AN APPLICATION FOR AN INSURANCE POLICY IS SUBJECT TO CRIMINAL AND CIVIL PENALTIES."

RESIDENTS OF NEW MEXICO APPLICANTS: "ANY PERSON WHO KNOWINGLY PRESENTS A FALSE OR FRAUDULENT CLAIM FOR PAYMENT OF A LOSS OR BENEFIT OR KNOWINGLY PRESENTS FALSE INFORMATION IN AN APPLICATION FOR INSURANCE IS GUILTY OF A CRIME AND MAY BE SUBJECT TO CIVIL FINES AND CRIMINAL PENALTIES."

RESIDENTS OF NEW YORK APPLICANTS: "ANY PERSON WHO KNOWINGLY AND WITH INTENT TO DEFRAUD ANY INSURANCE COMPANY OR OTHER PERSON FILES AN APPLICATION FOR INSURANCE OR STATEMENT OF CLAIM CONTAINING ANY MATERIALLY FALSE INFORMATION, OR CONCEALS FOR THE PURPOSE OF MISLEADING, INFORMATION CONCERNING ANY FACT MATERIAL THERETO, COMMITS A FRAUDULENT INSURANCE ACT, WHICH IS A CRIME AND SHALL ALSO BE SUBJECT TO A CIVIL PENALTY NOT TO EXCEED FIVE THOUSAND DOLLARS AND THE STATED VALUE OF THE CLAIM FOR EACH SUCH VIOLATION."

RESIDENTS OF OHIO APPLICANTS: "ANY PERSON WHO, WITH INTENT TO DEFRAUD OR KNOWING THAT HE/SHE IS FACILITATING A FRAUD AGAINST ANY INSURER, SUBMITS AN APPLICATION OR FILES A CLAIM CONTAINING A FALSE OR DECEPTIVE STATEMENT IS GUILTY OF INSURANCE FRAUD."

RESIDENTS OF OKLAHOMA APPLICANTS: "ANY PERSON WHO KNOWINGLY AND WITH INTENT TO INJURE, DEFRAUD OR DECEIVE ANY INSURER, MAKES ANY CLAIM FOR THE PROCEEDS OF AN INSURANCE POLICY CONTAINING ANY FALSE, INCOMPLETE OR MISLEADING INFORMATION IS GUILTY OF A FELONY."

RESIDENTS OF OREGON APPLICANTS: "ANY PERSON WHO KNOWINGLY AND WITH INTENT TO DEFRAUD OR SOLICIT ANOTHER TO DEFRAUD AN INSURER: (1) BY SUBMITTING AN APPLICATION, OR (2) BY FILING A CLAIM CONTAINING A FALSE STATEMENT AS TO ANY MATERIAL FACT, MAY BE VIOLATING STATE LAW."

RESIDENTS OF PENNSYLVANIA APPLICANTS: "ANY PERSON WHO KNOWINGLY AND WITH INTENT TO DEFRAUD ANY INSURANCE COMPANY OR OTHER PERSON FILES AN APPLICATION FOR INSURANCE OR STATEMENT OF CLAIM CONTAINING ANY MATERIALLY FALSE INFORMATION OR CONCEALS FOR THE PURPOSE OF MISLEADING INFORMATION CONCERNING ANY FACT MATERIAL THERETO COMMITS A FRAUDULENT INSURANCE ACT WHICH IS A CRIME AND SUBJECTS SUCH PERSON TO CRIMINAL AND CIVIL PENALTIES."

RESIDENTS OF TENNESSEE APPLICANTS: "IT IS A CRIME TO KNOWINGLY PROVIDE FALSE, INCOMPLETE OR MISLEADING INFORMATION TO AN INSURANCE COMPANY FOR THE PURPOSE OF DEFRAUDING THE COMPANY. PENALTIES INCLUDE IMPRISONMENT, FINES AND DENIAL OF INSURANCE BENEFITS."

RESIDENTS OF TEXAS APPLICANTS: IF A LIFE, HEALTH AND ACCIDENT INSURER PROVIDES A CLAIM FORM FOR A PERSON TO USE TO MAKE A CLAIM, THAT FORM MUST CONTAIN THE FOLLOWING STATEMENT OR A SUBSTANTIALLY SIMILAR STATEMENT: "ANY PERSON WHO KNOWINGLY PRESENTS A FALSE OR FRAUDULENT CLAIM FOR THE PAYMENT OF A LOSS IS GUILTY OF A CRIME AND MAY BE SUBJECT TO FINES AND CONFINEMENT IN STATE PRISON."

RESIDENTS OF VERMONT APPLICANTS: "ANY PERSON WHO KNOWINGLY PRESENTS A FALSE STATEMENT IN AN APPLICATION FOR INSURANCE MAY BE GUILTY OF A CRIMINAL OFFENSE AND SUBJECT TO PENALTIES UNDER STATE LAW."

RESIDENTS OF VIRGINIA APPLICANTS: "IT IS A CRIME TO KNOWINGLY PROVIDE FALSE, INCOMPLETE OR MISLEADING INFORMATION TO AN INSURANCE COMPANY FOR THE PURPOSE OF DEFRAUDING THE COMPANY. PENALTIES MAY INCLUDE IMPRISONMENT, FINES AND DENIAL OF INSURANCE BENEFITS."

RESIDENTS OF WASHINGTON APPLICANTS: "IT IS A CRIME TO KNOWINGLY PROVIDE FALSE, INCOMPLETE, OR MISLEADING INFORMATION TO AN INSURANCE COMPANY FOR THE PURPOSES OF DEFRAUDING THE COMPANY. PENALTIES INCLUDE IMPRISONMENT, FINES, AND DENIAL OF INSURANCE BENEFITS."

RESIDENTS OF WEST VIRGINIA APPLICANTS: "ANY PERSON WHO KNOWINGLY PRESENTS A FALSE OR FRAUDULENT CLAIM FOR PAYMENT OF A LOSS OR BENEFIT OR KNOWINGLY PRESENTS FALSE INFORMATION IN AN APPLICATION FOR INSURANCE IS GUILTY OF A CRIME AND MAY BE SUBJECT TO FINES AND CONFINEMENT IN PRISON."

Name (Please Print/Type)

Title
(MUST BE SIGNED BY THE PRESIDENT CHAIRMAN OR EXECUTIVE DIRECTOR)

Signature

Date

The above signed warrants that he/she is authorized and has the power to complete and execute this Application, including the Warranty Statement on behalf of the **Applicant** and their respective Directors, Officers or other insured persons.

Produced By: (Section to be completed by Producer/Broker)

Producer

Agency

Producer License Number

Agency Taxpayer ID or SS Number

Address (Street, City, State, Zip)