



# ARCHITECTS, ENGINEERS AND CONSTRUCTION MANAGERS ERRORS & OMISSIONS INSURANCE

## APPLICATION

If coverage is issued, it will be on a claims-made basis.

**Notice: this insurance coverage provides that the limit of liability available to pay judgements or settlements shall be reduced by amounts incurred for legal defense. Further note that amounts incurred for legal defense shall be applied against the deductible amount.**

1. Name of applicant:   
 Address:   
 Website:   
 2. Date established:   
 3. In the past five years has the applicant ever changed names or been party to any acquisition, consolidation, merger, or dissolution? YES  NO

If YES, please describe:

4. Please describe the percentages of the following services the applicant provides or intends to provide:

|  | Last fiscal year | Current year | Number of licensed staff |
|--|------------------|--------------|--------------------------|
| Aerospace Engineering                                    | %                | %            |                          |
| Architecture   | %                | %            |                          |
| Chemical Engineering                                     | %                | %            |                          |
| Civil Engineering  | %                | %            |                          |
| Construction Management                                  | %                | %            |                          |
| Electrical Engineering                                   | %                | %            |                          |
| Environmental Engineering                                | %                | %            |                          |
| General Contracting                                      | %                | %            |                          |
| HVAC Engineering   | %                | %            |                          |
| Interior Designer  | %                | %            |                          |
| Land Surveying   | %                | %            |                          |
| Landscape Architecture                                   | %                | %            |                          |
| Machine, Equipment, and/or Manufacturing                 | %                | %            |                          |
| Marine Engineering                                       | %                | %            |                          |
| Mechanical Engineering                                   | %                | %            |                          |
| Nuclear Engineering                                      | %                | %            |                          |
| Process Engineering                                      | %                | %            |                          |
| Soil Engineering   | %                | %            |                          |
| Structural Engineering                                   | %                | %            |                          |
| Other (please specify below)                             | %                | %            |                          |
| <input style="width: 300px; height: 20px;" type="text"/> |                  |              |                          |



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5. Please list the state(s) in which the applicant will be performing these services and the percentage of work in that state:

| State | Percentage | State | Percentage |
|-------|------------|-------|------------|
|       | %          |       | %          |
|       | %          |       | %          |
|       | %          |       | %          |

6. Please provide the gross billings for services listed below that were performed by the applicant:

|   | Last 12 months |                     | Projected 12 months |                     |
|---|----------------|---------------------|---------------------|---------------------|
|   | Gross revenues | Construction values | Gross revenues      | Construction values |
| Design  |                |                     |                     |                     |
| Design/Build                                  |                |                     |                     |                     |
| Actual Construction/<br>Fabrication/ Erection |                |                     |                     |                     |
| Construction Management                       |                |                     |                     |                     |
| <b>Total</b>                                  |                |                     |                     |                     |

7. Please provide the approximate percentages of billings derived from the following services:

- a. Feasibility studies, reports and surveys not resulting in design   %
- b. Design without supervisory services   %
- c. Design and observation   %
- d. Construction/project management   %
- e. Construction observation without design   %
- f. Inspection of existing structures   %
- g. Inspections of homes/commercial properties for prospective buyers/lenders   %
- h. Manufacture, sale or distribution of any product or service   %
- i. Development, sale or leasing of any computer software or hardware   %
- j. Other - please specify:     %

8. Based upon billings, please provide the approximate percentages of the projects below that the applicant is engaged in.

|                    |   |                          |   |                           |   |
|--------------------|---|--------------------------|---|---------------------------|---|
| Airports           | % | Landfills                | % | Schools/colleges          | % |
| Amusement rides    | % | Libraries                | % | Sewage systems            | % |
| Apartments         | % | Manufacturing/industrial | % | Sewage plants             | % |
| Arenas/stadiums    | % | Mass transit             | % | Retail structures         | % |
| Bridges            | % | Mines                    | % | Superfund/pollution       | % |
| Condos/townhouses  |   | Municipal buildings      | % | Telecommunications        | % |
| Residential        | % | Nuclear/atomic           | % | Theatres                  | % |
| Commercial         | % | Office buildings         | % | Tract homes               | % |
| Convention centers | % | Parking structures       | % | Tunnels                   | % |
| Dams               | % | Petro/chemical           | % | Underground storage tanks | % |



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|                            |   |                           |   |                             |   |
|----------------------------|---|---------------------------|---|-----------------------------|---|
| Harbors/piers              | % | Pools/playgrounds         | % | Utilities                   | % |
| Hospitals/healthcare       | % | Pre-engineered structures | % | Warehouses                  | % |
| Hotels/motels              | % | Private dwellings         | % | Wastewater treatment plants | % |
| Industrial waste treatment | % | Recreation                | % | Water systems               | % |
| Jails                      | % | Roads/highways            | % |                             |   |
| Other – please specify:    |   |                           |   |                             | % |

9. Is the applicant firm involved in any business other than those described? YES  NO   
 If YES, attach an explanation.
10. Does the applicant or any related entity have any ownership in any other company? YES  NO   
 If YES, attach an explanation (including % ownership).
11. Does the applicant provide any services on any project or for any entity in which the applicant or any related entity has any ownership? YES  NO   
 If YES, attach an explanation (including % ownership).

12. Please provide the following information about the applicant's key employees:

| Name in full of ALL partners/principals/key employees | Professional qualifications | Date qualified | How long in practice? | How long as partner/principal? |
|---|-----------------------------|----------------|-----------------------|--------------------------------|
|   |                             |                |                       |                                |
|   |                             |                |                       |                                |
|   |                             |                |                       |                                |
|   |                             |                |                       |                                |
|   |                             |                |                       |                                |
|   |                             |                |                       |                                |

13. To what professional association(s) does the applicant belong?

14. Please include a list of the applicant's five (5) largest jobs or projects during the past three (3) years. Please give, in detail: 1) project/client name; 2) the nature of the services performed for the client; and 3) the revenues obtained from those services.

| Project/client name | Nature of the services | Revenue obtained |
|---------------------|------------------------|------------------|
|                     |                        |                  |
|                     |                        |                  |
|                     |                        |                  |
|                     |                        |                  |
|                     |                        |                  |

15. Does the applicant follow in house quality control procedures? YES  NO   
 Does the applicant obtain continuing education for professional employees? YES  NO   
 How many professional employees of the applicant have attended at least six hours of continuing education over the past 12 months?   
 Does the applicant use written contracts on every project? YES  NO



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If NO, please provide the percentage of projects where oral agreements were used:  %

Please specify the approximate percentage of professional services rendered under AIA or EJCDC standard contracts:  %

If non-standard contract, modified AIA/EJCDC contracts or letter agreements are used, are they reviewed by the applicant's legal counsel for liability implications prior to signing? YES  NO

Does the applicant seek a limitation of liability clause in contracts with clients? YES  NO

If so, what percentage of contracts contain this clause?  %

Does the applicant negotiate into its contracts a provision for alternative dispute resolution such as mediation? YES  NO

If so, what percentage of contracts contain this clause?  %

16. Does the applicant subcontract any professional services? YES  NO

If YES, please explain:

17. Has any similar insurance ever been non-renewed or cancelled? YES  NO

If YES, please explain:

18. Is similar insurance currently in place? YES  NO

Please provide professional insurance information for the past 5 years:

| Company | Term | Limits | Deductible | Premium |
|---------|------|--------|------------|---------|
|         |      |        |            |         |
|         |      |        |            |         |
|         |      |        |            |         |
|         |      |        |            |         |
|         |      |        |            |         |

Retroactive date on policy?

19. Please provide the applicant's current general liability coverage.

| Insurance company | Type of coverage | Limits |    | Effective |    |
|-------------------|------------------|--------|----|-----------|----|
|                   |                  | BI     | PD | From      | To |
|                   |                  |        |    |           |    |

20. Have any of the individuals listed in question 12 ever been the subject of disciplinary action by authorities as a result of their professional activities? YES  NO

If YES, please explain:



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21. Does any person to be insured have knowledge or information of any act, error or omission which might reasonably be expected to give rise to a claim against him/her? YES  NO

If YES, please explain:

22. After inquiry have any claims been made against any proposed Insured(s) during the past five (5) years? YES  NO

If YES, please complete a supplemental Claims Information form for each claim.

How many claims have been made in the past five (5) years?

23. What limits of liability would you like us to quote?  
\$500,000  \$1,000,000  \$2,000,000  Other:

24. What deductible would you like us to quote?  
\$5,000  \$10,000  \$25,000  Other:

It is understood and agreed that with respect to questions 20, 21 and 22, that if such knowledge or information exists any claim or action arising there from is excluded from this proposed coverage.

**Notice to New York applicants: any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance containing any false information, or conceals for the purpose of misleading, information concerning any fact material thereto, commits a fraudulent insurance act, which is a crime.**

The Applicant hereby acknowledges that he/she/it is aware that the limit of liability shall be reduced, and may be completely exhausted, by the costs of legal defense and, in such event, the Insurer shall not be liable for the costs of legal defense or for the amount of any judgement or settlement to the extent that such exceeds the limit of liability.

The Applicant hereby further acknowledges that he/she/it is aware that legal defense costs that are incurred shall be applied against the deductible amount.

I HEREBY DECLARE that, after inquiry, the above statements and particulars are true and I have not suppressed or misstated any material fact and that I agree that this application shall be the basis of the contract with the Underwriters.

Signature of person authorized to execute on behalf of the applicant:

Date:

This Application Form duly completed, together with any supplementary information, must be signed in ink by the person indicated.

Signing of this form does not bind the Applicant or the Underwriters to complete the insurance.

**A copy of this application should be retained for your records.**